



AARITYA BROKING PRIVATE LIMITED ACCOUNT OPENING FORM-INDIVIDUAL

Aaritya Broking Private Limited

Know Your Client (KYC)

Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory Fields marked + are
pertaining to CKYC and mandatory only if processing CKYC also



CDSL VENTURES LIMITED

.....Exploring New Horizons



Application Number:

Application Type*: ☐ New KYC ☐ Modification KYC

KYC Mode*: Please Tick (✓)

☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

1. Identity Details (please refer guidelines overleaf)

PAN* _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) _____

Maiden Name* (if any) _____

Fathers/Spouse's Name* _____

Date of Birth* _____

Gender* ☐ Male ☐ Female ☐ Transgender

Marital Status* ☐ Single ☐ Married

Nationality* ☐ Indian ☐ Other _____

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin*

Please Tick (✓) (Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)

Recent passport size
Applicant Photo

Cross Signature across photograph

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☐ A — Aadhaar Card XXXX XXXX _____

☐ B — Passport Number _____ (Expiry Date) _____

☐ C — Voter ID Card _____

☐ D — Driving License _____ (Expiry Date) _____

☐ E — NREGA Job Card _____

☐ F — NPR _____ (any document notified by Central Government)

☐ Z — Others _____

Identification Number _____

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address

Line 1* _____

Line 2 _____

Line 3 _____

City/Town/Village* _____ District* _____ Pin Code* _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* _____

Line 2 _____

Line 3 _____

City/Town/Village* _____ District* _____ Pin Code* _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified



Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

<input type="checkbox"/> A — Aadhaar Card	XXXX XXXX _____	
<input type="checkbox"/> B — Passport Number	_____	(Expiry Date) _____
<input type="checkbox"/> C — Voter ID Card	_____	
<input type="checkbox"/> D — Driving License	_____	(Expiry Date) _____
<input type="checkbox"/> E — NREGA Job Card	_____	
<input type="checkbox"/> F — NPR	_____	(any document notified by Central Government)
<input type="checkbox"/> Z — Others	_____	
Identification Number	_____	

3. Contact Details (in CAPITAL)

Email ID*	_____		
Mobile No. *	_____		
Tel (Off)	_____	Tel (Res)	_____

4. Applicant Declaration

<p>I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.</p> <p>I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.</p> <p>I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.</p>	Applicant e-SIGN	Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date _____ Emp. Name _____ Emp. Code _____ Emp. Designation _____	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested) AMC / Intermediary Name : <div style="border: 1px solid black; height: 30px; width: 100%;"></div>
Employee Signature and Stamp	Institution Name and Stamp



ACCOUNT OPENING FORM
Resident Individual Trading / Demat

Applicant Name:

Trading Member / DP:

Aaritya Broking Private Limited

Client Code / UCC:

CDSL BO ID:

PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS CONTENTS AND ENSURE
ALL THE DETAILS PROVIDED ARE CORRECT.

Application #:

DP Internal Ref #:

INDEX OF DOCUMENTS

(TRADING AND DEMAT ACCOUNT OPENING FORM - PART A)			
Sr. No	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI AND EXCHANGES.			
1	Account Opening form	KYC Form - Document captures the basic information about the constituent and Additional information relevant to Trading and Demat account including FATCA-CRS Declaration	1
2	Tariff Sheet	Document detailing the rate/amount of Brokerage and other charges for trading and demat account	12
3	Nomination Form	This document captures the nomination details provided by the client	13
4	Most Important Terms and Conditions	Applicable to client account as specified by SEBI Demat account including FATCA-CRS Declaration	16
VOLUNTARY DOCUMENTS AS PROVIDED BY STOCK BROKER / DEPOSITORY PARTICIPANT			
5	Policy on Good till Triggered (GTT) / Good till Cancelled (GTC) orders	The document prescribes the detailed rules and processes on GTT and GTC order facility	17
6	Terms and Conditions	Terms and Conditions applicable to Client Account including client authorization for Running Account, Authorization for receipt of contract notes and other documents in a Digital Mode, Email ID/Mobile Declaration and Terms and Conditions for BSE StAR MF	20

INDEX OF DOCUMENTS (PART B)			
Sr. No	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI, EXCHANGES AND DEPOSITORY.			
1	Rights and Obligations of Stock Broker, AP and Clients	Document stating the Rights and Obligations of Stock broker/trading member, AP and Client for Trading on the exchanges (including the additional rights and obligations in case of Internet / wireless technology based trading.	S E P E R A T E C L I E N T H A N D B O O K
2	Rights and Obligations of Beneficial Owner and Depository Participant	Document stating the Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.	
3	Risk Disclosure Document ("RDD")	Document detailing the risks associated with dealings in the securities market.	
4	Guidance Note	Document detailing do's and don'ts for trading on the Exchange for the education of Investors.	
5	Policies and Procedures	Document describing the significant Policies and Procedures pertaining to the trading account of the client.	
6	Instruction / Checklist	KYC Form - Instruction / Checklist	
7	Terms & Conditions cum Registration/Modification form for receiving SMS alerts from CDSL		
8	Investment Charter- Stock Broker as prescribed by SEBI		



Name of the Trading cum Clearing member	:	Aaritya Broking Private Limited
SEBI Stock Broker Registration No	:	INZ000317632
NSE Trading and Clearing Member Code	:	90375
BSE Trading and Clearing No.	:	6867
SEBI Depository Participant Registration No	:	IN-DP-780-2024
CDSL DP ID	:	12100100
SEBI Research Analyst Registration No	:	INH000022172
BSE StAR mutual fund distributor no.	:	60516
PAN	:	AAZCA5978R
GST Registration No.	:	29AAZCA5978R1Z1
Registered Office Address	:	3rd Floor, Brigade Metropolis, Summit B, Whitefield, Bangalore, Karnataka – 560048.
Main/ Correspondence Office Address	:	3rd Floor, Brigade Metropolis, Summit B, Whitefield, Bangalore, Karnataka – 560048.
Compliance officer's name	:	Vaibhav Satalkar
Phone no and email id	:	+91 80-47092788/ compliance@aaritya.com
CEO's name	:	Abhishek Sinha
Phone no and email id	:	+91 80-45691326 / kmp@aaritya.com

For any Trading related grievance/dispute please contact Aaritya Broking Private Limited at the above address or Email Id: grievance@aaritya.com. For DP related grievance/dispute please Email at dpgrievance@aaritya.com.

In case not satisfied with the response, please contact the concerned exchange(s)

at NSE-Email: ignse@nse.co.in Phone No. 022-26598190, BSE-Email: is@bseindia.com Phone No. 022-22728097

You can also contact CDSL-E mail ID: complaints@cdslindia.com. Phone No. 18002005533/022-23023333

You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575

PART A

Mandatory Documents



Account Opening Form - Individual

I wish to open a new

Trading A/c Yes ☐
CDSL Demat A/c Yes ☐
Basic Service Demat A/c Yes ☐ No ☐ Opted Out ☐

1. A/c Holder's Name

1st Holder : _____
2nd Holder : _____
3rd Holder : _____

2. Trading Preference (Exchanges & Segments)

Please sign in the relevant boxes where you wish to trade. Please strike if the segment is not chosen by you.

Exchanges	NSE, BSE	
All Segments	Cash & Mutual Funds	F&O
E-Sign		

If you do not wish to trade in any of segments / Mutual Funds, please mention here

3. BANK A/C details for linking with Demat and Trading Account

Name of Bank: _____ Bank A/c No.: _____
Type of Account: _____ IFSC Code: _____
MICR Code: _____
Branch Address: _____

Demat A/c Details for Linking with Trading Account

4. FATCA - CRS SELF DECLARATION

Tick if applicable: ☐ Residence for tax purposes in jurisdictions) outside India
ISO 3166 Country Code of Jurisdiction of Residence: _____
Tax Identification Number or Equivalent (If issued by jurisdiction). _____
Place /City of Birth : _____ ISO3166 Country Code of Birth: _____
*U.S person Yes ☐ No ☐ Source of Wealth:

To also include U.S*, where the individual is citizen/green card holder of U.S *

*U.S: United States

Declaration: I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be held liable for it. I hereby authorize Aaritya to share my FATCA/CRS details as may be requested by domestic and/or overseas regulatory authorities/ tax authorities.

E-Sign



Know your Client (KYC) Application Form (For Individual Only)

Please fill the form in ENGLISH and in BLOCK LETTERS

KYC Mode*: ☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

A. Identity Details

1. Name of Applicant (As appearing in supporting identification document).

Full Name _____

Maiden Name _____

Father's/Spouse Name _____

2. a. Gender* ☐ Male ☐ Female ☐ Transgender

b. Marital Status* ☐ Single ☐ Married ☐ Divorced ☐ Widowed

Date of Birth*

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Nationality* ☐ Indian ☐ Other (Please specify) _____

4. a. Account Status Individual - Resident ☐

4. b. Account Sub-Status Individual - Resident with Nomination ☐ Individual - Resident Negative Nomination ☐

5. a. PAN* _____ b. Aadhaar Number, if any: _____

PROOF OF IDENTITY SUBMITTED FOR PAN EXEMPT CASES (Please Tick (✓))

UID (AADHAAR) ☐ PASSPORT ☐ VOTER ID ☐ DRIVING ☐ LICENCE ☐ OTHER ☐ _____

6. Geo Tagging Location: _____ (any document notified by Central government)

B. Address Details

1. Residence / Correspondence Address _____

City / Town / Village _____ Pin Code _____

Dist _____ State _____ Country _____

Address Type ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

2. Specify the Proof of Address submitted for Residence / Correspondence Address: _____

3. Contact Details

Tel (Off) _____ Tel (Res) _____

Mobile No. _____ Fax _____

Email ID* _____

4. Permanent Address _____

City / Town / Village _____ Pin Code _____

Dist _____ State _____ Country _____

Address Type ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

C. OCCUPATION & INCOME (PLEASE TICK)

Private Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
☐ House-Wife ☐ Student ☐ Others _____

Income per annum: Rs. ☐ <1 Lac ☐ 1 to 5 Lac ☐ 5 to 10 Lac ☐ 10 to 25 Lac ☐ More than 25 Lac OR

Net worth is Rs. _____ As on _____ (should not be older than 1 year)



D. DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

E-Sign

Wet Signature

Place: _____

Office Use Only

- ☐ Originals verified and Self-Attested Document copies received (Account opened through Digilocker / KRA fetched)
- ☐ In-Person-Verified (IPV) Done:

a. Name of the person _____

Account opened through Digilocker / KRA fetched

b. Designation _____

c. Name of Organisation: Aaritya Broking Private Limited

Signature of the Authorised Signatory

Seal/ Stamp of the Stock Broker

d. Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---



a) Are you a politically exposed person (PEP)	Yes <input type="checkbox"/> No <input type="checkbox"/>
b) Are you related to any PEP	Yes <input type="checkbox"/> No <input type="checkbox"/>
c) I have already done my KYC KRA registration/documentation through a SEBI registered intermediary	Yes <input type="checkbox"/> No <input type="checkbox"/>
d) I wish to receive Physical Contract Note <input type="checkbox"/> Electronic Contract Note <input type="checkbox"/> _____	
e) I wish to avail the facility of internet trading and wireless technology	Yes <input type="checkbox"/> No <input type="checkbox"/>
f) Details of past actions/proceedings initiated/pending/taken by SEBI/stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorised persons in charge of dealing in securities during last 3 years.	Yes <input type="checkbox"/> No <input type="checkbox"/>
If Yes, Provide Details: _____	
g) Number of years of Investment / Trading experience _____ Any other information _____	
h) I wish to nominate <input type="checkbox"/> Please fill up attached nomination form <input type="checkbox"/> I do not wish to nominate <input type="checkbox"/>	
Below Declaration is applicable for Demat Account only:	
i) Mode of receiving Demat Account Statement and Annual Report: <input type="checkbox"/> Electronic <input type="checkbox"/> Physical Both <input type="checkbox"/> (Physical & Electronic)	
Account statement frequency: <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly
j) I request you to send electronic transaction cum holding statement at the email ID	Yes <input type="checkbox"/> No <input type="checkbox"/>
k) Share the email ID with RTA	Yes <input type="checkbox"/> No <input type="checkbox"/>
m) I authorize you to receive credit automatically into my A/c	Yes <input type="checkbox"/> No <input type="checkbox"/>
n) I want to receive the Delivery Instruction Slip booklet (DIS) (If not indicated, will be treated as 'No') (DIS Booklet should be issued to me immediately on my request at any later date)	Yes <input type="checkbox"/> No <input type="checkbox"/>
o) I wish to receive Dividend/Interest directly in to my Bank account through ECS (If not marked, the default option would be Yes) (ECS is mandatory for location notified by SEBI from time to time)	Yes <input type="checkbox"/> No <input type="checkbox"/>
p) I would like to instruct the DP to accept all the pledge instructions in my account without any other further instruction from my or our end. (If not marked the default option would be "No")	Yes <input type="checkbox"/> No <input type="checkbox"/>
q) I hereby state: <input type="checkbox"/> I do not have GST Number <input type="checkbox"/> I have GST Number as _____ GST State _____	
r) I wish to avail the TRUST (TRansactions Using Secured Texting) facility using the mobile number registered for SMS alert facility. I have read and understood the T&C prescribed by CDSL for the same	Yes <input type="checkbox"/> No <input type="checkbox"/>
To register for easi, please visit website www.cdslindia.com (Easi allows a BO to view their ISIN balances, transactions and value of the portfolio on-line)	
s) I/We wish to receive the Consolidated Account Statement from Depository	Yes <input type="checkbox"/> No <input type="checkbox"/>
B) DEALINGS THROUGH SUB-BROKERS /AP AND OTHER STOCK BROKERS	
Whether client is dealing through the sub-broker/AP	Yes <input type="checkbox"/> No <input type="checkbox"/>
Sub-broker's/AP Name _____	
If Yes, provide the following details: SEBI Reg. No: _____ Phone: _____	
Registered office address: _____	
Fax: _____ Website: _____	
Whether dealing with any other stock broker/sub-broker /AP(in case dealing with multiple stock brokers/sub-broker/AP) Yes <input type="checkbox"/> No <input type="checkbox"/>	
(If Yes, provide details of all) Name of Stock Broker: _____ Exchange: _____	
Name of Sub-Broker/AP if any: _____ Client Code: _____	
Details of disputes/dues pending from/to such stock broker/sub-broker/AP	



DECLARATION - I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I confirm having read explained and understood the contents of the document on policy and procedures of the Stock broker and the tariff sheet. I further confirm having read and understood the contents of Part B of the Account opening form comprising of Rights and Obligations of the Beneficial Owner and Depository Participant, Rights and Obligations of Stock Brokers, Sub Brokers /AP & clients, Risk Disclosure document, Policy & Procedures applicable to the clients, Guidance Note for Do's and Don'ts for Trading on the Exchanges) for Investors, Terms and Conditions related to Mutual Funds through BSE StAR MF, Investment Charter- Stock Broker and Depository participant as prescribed by SEBI. I do hereby agree to be bound by such provisions as outlined in these documents. I would like to receive of copy of above Part B document through electronic form. I have also been informed that the standard set of documents has been displayed for information on website www.aaritya.com.

The Rules & Regulations of the Depository and Depository participant, pertaining to an account which are in force now, have been read by me and I have understood the same and I agree to abide by, and to be bound by the rules as are in force from time to time for such account. My personal details /KYC details may be shared with Central KYC Registry. I hereby consent to receiving information from Central KYC Registry through SMS Email on the registered number/Email address.

E-Sign

-

D) INTRODUCER DETAILS (OPTIONAL)

Name of the Introducer: _____

Address of Introducer: _____ Phone No.: _____

Status of the Introducer: _____

Remisier/Authorized Person/Existing Client/Others, Please specify _____

OFFICE USE ONLY _____

Introducer Signature

-

UCC code allotted to the client _____

	Documents verified with Originals	Clients Interviewed by	In-Person Verification done by
Name of the Employee	Account opened through Digilocker / KRA fetched		
Employee Code			
Designation of Employee			
Date			
Signature			

I/we undertake that we have made the client aware of 'Policy and Procedures, tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document RDD , Investment Charter- Stock Broker and Depository Participant as prescribed by SEBI and Guidance Note. I/We have given/sent him/uploaded on our website after customer login, a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures, tariff sheet and all the non-mandatory documents would be duly Intimated to the clients. I/We also undertake that any change in the Rights and Obligations and RDD would be made available on my/our website, if any, for the information of the clients.

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of the Authorised Signatory

Seal/ Stamp of the Stock Broker

E-Sign

-

Tariff Sheet for Trading and Demat Services

Sr no	Particulars	Tariff (Rs)
1	Account Opening Charges	Nil
2	Annual Maintenance Charges	Nil
3	Brokerage in Equity Segment	Rs. 20 per executed order or 0.05% whichever is lower
4	Brokerage in F&O Segment	Rs. 20 per executed order
5	Auto square off charges for open intraday positions by system	Rs. 50 per position
6	Auction charges	As per actual penalty by Exchanges
7	Buy Back charges	Rs. 20/- per executed transaction
8	Delayed Payment Charges (DPC)	0.045% per day, simple interest, compounded monthly
9	Physical delivery of Derivatives	Rs. 20 per executed transaction
10	Periodic / Ad hoc Statement request	Email: Nil / Physical: Rs. 10 per page
11	KYC modification request	Rs. 50/-
12	KYC modification request	Rs. 50/-
Demat Services		
13	DP charges for Buy transactions (Credit)	Nil
14	DP charges for Sell transactions (D)	Rs. 13.5/- per company
15	Demat / Remat	Rs. 150/- per certificate + Courier charges
16	Failed Demat transactions	Rs. 50/- per ISIN
17	Delivery Instruction Slip (DIS)	First 10 leaves – Free Additional 10 leaves – Rs. 100 + Courier charges
18	Physical Client Master Report (CMR)	Rs. 20 + Courier charges
19	DP Inter settlement charges	Rs. 13.5/-
20	Margin Pledge	Rs. 20/-
21	Margin Re-pledge	Rs. 5/-
22	Release of Margin Re-pledge	Rs. 5/-
23	Courier Charges	Max or Rs. 100 or Actual

Notes:

1. Brokerage shall not exceed the SEBI prescribed limits.
2. The above-mentioned charges are exclusive of 18% GST (wherever applicable).
3. The Statutory charges like Exchange transaction charges, SEBI turnover fees, Securities Transaction Tax (STT), Stamp duty, Investor Protection Fund Trust charges and any other such charges will be charged separately as levied by regulatory authorities. The list of statutory charges is available on website www.sahi.com.
4. The tariff sheet is subject to revision at the sole discretion of management and any such revision will be communicated by email.
5. Management reserves the right to freeze, discontinue or suspend the account if charges are not paid on time.

E-Sign

**Annexure A**

TM/DP Name: Aaritya Broking Private Limited

FORM FOR NOMINATIONAddress: 3rd Floor, Brigade Metropolis, Summit B,
Whitefield, Bangalore, Karnataka – 560048.

(To be filled in by individual applying singly or join

Date:

UCC:

DP id: 12100100

Client id:

I/We wish to make a nomination as per details given below:**Nomination details**

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Mandatory Details				
1	Name of the nominee(s) (Mr./Ms.)			
2	Share of each Nominee			
3	Date of Birth (DD MM YYYY)			
4	Relationship With the Applicant (If Any)			
5	Name of the Guardian(s) (Mr./Ms.) *			
6	Guardian's Date of Birth (DD MM YYYY)			
7	Guardian's Relationship With the Nominee (If Any)			
8	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country: PIN Code			
9	Mobile / Telephone No. of nominee(s)/ Guardian in case of Minor			
10	Email ID of nominee(s)/ Guardian in case of Minor			
11	Nominee/ Guardian Identification details –			

1. I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☒ Nomination: Yes / No

2. I hereby authorize _____ (nominee number _____) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to _____ % of assets in the account / folio or Rs. _____. (strike off portions that are not relevant)

3) This nomination shall supersede any prior nomination made by me / us, if any.

Name(s) of holder(s)		Signature(s) of holder	Witness Signature*
Sole/First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

*Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the investor and nominee:

If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.

- I You can make nomination or change nominee any number of times without any restriction.
- I You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- I Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- I In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- I You have the option to designate any one of your nominees to operate your account / folio, in case of your physical incapacitation. This mandate can be changed any time you choose.
- I The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e.
 - o 'Either or Survivor' Folios / Accounts - any one of the holder can sign
 - o 'Jointly' Folios / Accounts - both holders have to sign

Transmission aspects

- I AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- I Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- I In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60 %	A	0 %	0 %	0 %
B	30 %	B	30 %	45 %	75 %
C	10 %	C	10 %	15 %	25 %
Total	100 %	-	40 %	60 %	100 %



Name(s) of holder(s)		Signature(s) of holder
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

Any odd lot after division shall be transferred to the first nominee mentioned in the form.

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Annexure B

Declaration for opting-out of nomination

Sole / First Holder (Mr./Ms): _____
UCC: _____
Client ID: _____
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

Name and Signature of Holder(s)*
1. _____ 2. _____ 3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

E-Sign



Most Important Terms and Conditions (MITC)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. six trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

E-Sign



Most Important Terms and Conditions (MITC) for Research Analyst

- I. These terms and conditions, and consent thereon are for the research services provided by the Research Analyst (RA) and RA cannot execute/carry out any trade (purchase/sell transaction) on behalf of, the client. Thus, the clients are advised not to permit RA to execute any trade on their behalf.
- ii. The fee charged by RA to the client will be subject to the maximum of amount prescribed by SEBI/ Research Analyst Administration and Supervisory Body (RAASB) from time to time (applicable only for Individual and HUF Clients).
 - a. The current fee limit is Rs 1,51,000/- per annum per family of client for all research services of the RA.
 - b. The fee limit does not include statutory charges.
 - c. The fee limits do not apply to a non-individual client / accredited investor.
- iii. RA may charge fees in advance if agreed by the client. Such advance shall not exceed the period stipulated by SEBI; presently it is one quarter. In case of premature termination of the RA services by either the client or the RA, the client shall be entitled to seek refund of proportionate fees only for unexpired period.
- iv. Fees to RA may be paid by the client through any of the specified modes like cheque, online bank transfer, UPI, etc. Cash payment is not allowed. Optionally the client can make payments through Centralized Fee Collection Mechanism (CeFCoM) managed by BSE Limited (i.e. currently recognized RAASB).
- v. The RA is required to abide by the applicable regulations/ circulars/ directions specified by SEBI and RAASB from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. The RA will endeavor to promptly inform the client of any conflict of interest that may affect the services being rendered to the client.
- vi. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. No scheme of this nature shall be offered to the client by the RA.
- vii. The RA cannot guarantee returns, profits, accuracy, or risk-free investments from the use of the RA's research services. All opinions, projections, estimates of the RA are based on the analysis of available data under certain assumptions as of the date of preparation/publication of research report.
- viii. Any investment made based on recommendations in research reports are subject to market risks, and recommendations do not provide any assurance of returns. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report. Any reliance placed on the research report provided by the RA shall be as per the client's own judgement and assessment of the conclusions contained in the research report.
- ix. The SEBI registration, Enlistment with RAASB, and NISM certification do not guarantee the performance of the RA or assure any returns to the client.
- x. For any grievances,
Step 1: the client should first contact the RA using the details on its website or following contact details: grievance.research@aaritya.com
Step 2: If the resolution is unsatisfactory, the client can also lodge grievances through SEBI's SCORES platform at www.scores.sebi.gov.in
Step 3: The client may also consider the Online Dispute Resolution (ODR) through the Smart ODR portal at <https://smartodr.in>
- xi. Clients are required to keep contact details, including email id and mobile number/s updated with the RA at all times.
- xii. The RA shall never ask for the client's login credentials and OTPs for the client's Trading Account Demat Account and Bank Account. Never share such information with anyone including RA.

E-Sign

Policy On Handling Of Good Till Triggered / Good Till Cancelled Orders

Concept of GTT (Good-till Triggered) orders

Good - Till Triggered (GTT) orders basically are price alert-based order placement or trigger-based orders. Only when a pre-set trigger (entry price) is breached, then the order is placed with Exchange as per the limit price set by you to execute the order. In case the pre-set trigger (entry price) is breached during a particular day and the limit price selected is not exactly met on the same day, all such orders will be cancelled at the end of such trading day session.

This type of order is valid for all Cash and F&O scrips or contracts, be valid for 365 days from the date of creation or till the expiration date of the contract whichever is earlier. The trigger set is valid only once, so if the order is placed at the exchange and not executed for any reason, the GTT has to be placed again by the user.

GTT can be used only for Delivery orders in the NSE Cash, NSE F&O and BSE Cash segment.

If on the trading day, the price of scrip or contract opens at a gap up or gap down breaching the selected pre-set price, an order would be placed at the selected limit price.

Terms of Use of GTT Order facility

When you place a GTT order, you are deemed to have read and understood the terms and conditions of its use as appended below or as may be amended from time to time and agreed to abide by the same strictly. All GTT orders shall confirm to and be liable to the Bye-laws, Rules and Regulations of the Exchange and the guidelines of the Regulatory authority and be subject to the Rights and Obligations, the risks set out in the Risk Disclosure Documents and all the other terms and conditions relating to securities transactions forming part of the KYC documents executed by you.

1. You will be able to select only limit orders as GTT orders.
2. GTT can be used only for Delivery or CNC or NRML type orders in the NSE Cash, NSE F&O and BSE Cash segment.
3. At any point in time, you can only have a maximum of 50 GTT Orders. GTT Orders may not be allowed, may be rejected or cancelled, or not executed even if pre-set trigger condition is met in the below mentioned market timings/conditions:
 - i. If the entry price is met or breached, but the order sent to the Exchange does not meet the Order price (limit price) during the day's trading session, the GTT order is cancelled and removed from the GTT Order queue.
 - ii. All GTT orders that do not meet the entry price in 365 days shall be cancelled. 365 days shall be counted as consecutive calendar days from the date of placing the orders. In case there is a change in exchange series or any corporate actions, such as; splits, bonuses, dividends, merger, reverse mergers, amalgamations, takeover, delisting, rights issue, etc. where there is a significant impact/change in the scrip price, the GTT will be cancelled at the sole discretion of AARITYA, one day prior to the ex-date of such corporate action effect taking place on the stock price. Details of upcoming corporate actions applicable for such unexecuted orders will be intimated to you by one day prior to the ex-date of the corporate action.
 - iii. If the entry price is met, but the Order price (limit price) is outside the circuit limits of the particular scrip, the GTT orders will be cancelled.
 - iv. A GTT order which is triggered but not executed at the Exchange for any reason shall be cancelled.
 - v. GTT orders placed for any scrip/contract not allowed for trading by AARITYA shall be rejected.
 - vi. You have the option of cancelling the GTT orders at any time before execution, without furnishing any reason.
 - vii. All GTT Orders which have met the pre-set trigger conditions but not executed for any reason, or are cancelled otherwise, shall have to be placed again, if desired to be executed.
 - viii. GTT Orders for F&O contracts are valid only during their contract period. Pending GTT Orders for F&O segment will be removed at the expiry of the respective contract period.
 - ix. GTT orders will be triggered only based on the live last traded price it is tracking on the exchange.



- x. Against all GTT orders pending to be triggered, you are required to maintain sufficient cash balance and/or sufficient quantity of holdings of the respective scrip and/or margin such that the GTT orders are placed for execution if and when the entry price is reached or breached.
- xi. GTT orders will be allowed to be placed only through client logins via mobile app and web channel.
- xii. AARITYA shall not be responsible for any financial loss that you may incur in your securities transaction using the GTT order facility and makes no representations, assurances or warranties as to any profits or other gains to be had from the use of GTT orders. AARITYA accepts no responsibility for non-execution of the GTT orders for any reason whatsoever, their cancellation or for any loss of opportunity to utilize the margins held against pending GTT orders in alternate investment options. AARITYA does not make, nor shall be deemed to have made, any representations or warranties of any kind, either express or implied, including but not limited to, representations or warranties as to the suitability or fitness of GTT order facility for your particular investment or trading needs, risk appetite, or financial conditions.
- xiii. AARITYA reserves the right to modify the terms and conditions of GTT at any time without prior notice to you and any GTT order that you may place shall be governed by the prevailing terms and conditions. You shall familiarize yourself with the terms and conditions as are applicable to the GTT orders from time to time.

E-Sign

PART A

Voluntary Documents

TERMS AND CONDITIONS

1. The Client shall maintain such Margin as may be stipulated by Aaritya Broking Private Limited ("Aaritya") from time to time. In case the Client does not provide the required Margin within the time frame stipulated by Aaritya, then Aaritya shall take such other action as it may think fit and proper. Aaritya may require the Client to pay additional Margin immediately in case of high volatility.
2. The Client undertakes to monitor the adequacy of the collateral on a continuous basis.
3. The Client shall monitor margin shortfall as required from time to time, and whether or not any margin call is made or such other separate communication to that effect is sent by Aaritya to the Client and /or whether or not such communication is received by the Client to avoid any liquidation.
4. The Client agrees that Aaritya may at its discretion, in accordance with its risk management policy, disable trading in certain securities or square off all open positions in such scrip which are not converted to delivery irrespective of margin availability. Client agrees that Aaritya cannot be held liable for any losses arising . out of such disablement or squaring off of such security.
5. Aaritya as a risk containment measure shall have the discretion to square off/sell all or some of the client positions/collaterals/securities, without any notice to the client.
6. The Client is responsible for all orders, including any orders that may be executed without the required Margin in the Client's account.
7. Aaritya shall have the discretion to allow or disallow trading by the client in certain scrips / contracts /products / services.
8. No transaction request shall be assumed to be executed / modified until a confirmation from Aaritya is received by the Client.
9. The client authorizes Aaritya to close out the transactions in case the Client fails to make full payment to Aaritya or if there is debit in client's account with Aaritya. Also, may square off open client's margin/ leveraged positions in case the scrip price is nearing its daily price band or due to any adverse development concerning the client's position or scrip.
10. The client agrees that Aaritya shall not be liable for non-execution or delay in the execution of any order due to system/network issue or due to any reason beyond the control of Aaritya.
11. The client shall pay to Aaritya outstanding debit balance if any in their account from time to time without any delay. In case of delay, interest on delayed payment shall be charged on outstanding amount including on interest amount already charged as per rates mentioned in Tariff Sheet for Trading and Demat.
12. The losses incurred on client's account will be to the account of the client only. Neither Aaritya nor any of its employees/agents shall in any circumstances be liable for any loss, lost profits, cost, liability, expense or damage occurred to the client.
13. The client authorize Aaritya to obtain details in relation to the transactions and balances in respect of the securities held in their demat account for the purpose of collating and displaying details under "Portfolio" Section. The client acknowledges that the Portfolio service is being provided as an add on facility and for client convenience/Information only and Aaritya shall not be liable for any inaccurate display of data due to any unintended error etc.
14. The client authorizes Aaritya to deposit/pledge or repledge from time to time client's collateral and deposits with the Exchanges/Clearing Corporation for meeting client's margin requirements and other obligations.
15. The client authorizes Aaritya to provide the communications through SMS/WhatsApp and/or telephone calls on the registered mobile number(s), even if the clients contact number is registered with the National Do Not Call Registry ("NDNC") etc. The client confirms that they shall not make any complaint to the TRAI/ Service provider in relation to any call/communications received from Aaritya and shall not hold Aaritya liable.
16. The client authorizes Aaritya to set off outstanding in any of the account of the client against credits available or arising in any other accounts segments/exchanges maintained with Aaritya.
17. The client authorizes Aaritya to use the client account details/KYC details//registered telephone numbers/TIN etc. as method of verification of the client's identity as the caller and then take orders, instructions from the caller over the phone. All such orders, instructions etc. shall be deemed to have been placed by the client and bidding on the client.
18. The Client acknowledges that they are fully aware of and understands the risks associated with availing of the services for routing orders over the telephone including the risk of misuse and unauthorized use of their details and/or username and/or TPIN by a third party. The Client agrees that they shall be fully liable and responsible for any and all unauthorized transactions and unauthorized use of the above.
19. The client shall not have recourse to dispute redressal mechanism/arbitration mechanism/investor protection schemes of the Stock Exchanges/SEBI, in case the client avails services under any schemes/leagues/competitions etc. offered by any third party/group company/associates of Aaritya, and concerning such services.

20. The client agrees that non-receipt of bounced mail notification by Aaritya shall amount to delivery of the contract note at the email ID of the client.
21. The Trading Member may keep the unutilised margin deposits of the client in bank deposits in accordance with regulatory guidelines issued from time to time. However, no interest shall be passed on to the client earned for such deposits.
22. Without prejudice to other rights and obligations of the parties, the client understand and agrees that Aaritya may levy additional charges including Annual Maintenance charges and all charges with respect to Client Demat account for any service rendered by Aaritya and as may be required by the client and recover from the client at all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients Trading Ledger account with Aaritya and it shall be binding on the client.
23. Aadhaar and Digi Locker Authorization: The Client agree to submit their Aadhaar number and voluntarily provide their consent as under: The Client hereby authorizes Aaritya to use their Aadhaar Number and fetch data from UIDAI for verification. Further on, the client's Aadhaar Number is to be updated for their Trading / Demat account with Aaritya. The client also authorize Aaritya to link their Aadhaar number to their Trading / Demat Account, Biometric and/or One Time Pin (OTP) data (and/or any similar authentication mechanism) for Aadhaar based authentication for availing Aaritya services. The client understand that Aaritya will use Demographic Authentication service provided by UIDAI in authenticating the customer where Aaritya doesn't take physical copy of the Aadhaar letter. The client hereby give their consent to Aaritya for sharing their Aadhaar number mapped to their accounts with government agencies/Stock Exchanges/ Depositories/ Clearing Corporation/ Registrars & Transfer Agents after authentication. The client hereby agree to carry out online KYC including Re KYC for opening or maintenance of their trading and demat account and hereby give their consent to Aaritya to share their details and records with DigiLocker for enabling retrieval of Officially valid Documents from Digilocker as required for Online KYC/Re KYC.
24. Aaritya may undertake Trading on Exchanges, in its Own / Proprietary Account in addition to Client Based Trading
25. The client is aware and agrees that Aaritya may tape record the telephonic conversation between Client/Client's representative and Aaritya as per regulatory guidelines of SEBI and Exchanges. Aaritya may produce before the competent authorities voluntarily or on such production being required by such authorities. Recorded conversations or transcript thereof or both as valid evidence of the content of the conversations so recorded.
26. Aaritya may disclose the client information to any person entity as required under law or regulatory direction or to any brokers association in case of any dispute to take any informed decision. The client hereby agrees and give its consents for disclosure by Aaritya to any person or entity including but not limited to any independent third parties/Service Providers or group entities of Aaritya , whether within India or Outside India (subject to permitted laws) of any data and information relating to client trading and demat account for the purposes of or in connection with any present or proposed initiatives. Business proposals. activities. facilities or services availed of or to be availed by the client in future
27. Running Account Authorization:
I/We hereby authorize Aaritya as follows, in respect of my/our trading account with Aaritya To maintain my account, on a running account basis, i.e. instead of paying to me/us any amounts or securities representing payouts, settlement dues, marked to market profits on the settlement date, to retain, withhold, set-off and or appropriate for such purposes and in such manner as may be prescribed by SEBI / Exchanges from time to time including towards settling outstanding obligations on a settlement date. We understand and agree that no interest will be payable to me/us on the deposits or amounts lying to my/our credit with Aaritya. This authorization may be revoked by me/us at any time by giving Aaritya written intimation.
I/We request you to settle our account on Monthly ☐ Quarterly ☐
In case we have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing or by email within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it.
28. ECN Declaration: The client hereby consent to receive from Aaritya the digital contract notes, bills, Statement of Funds and Securities. Daily Margin statements, Demat transaction statement, Holding statements, Notices, circulars, amendments and such other correspondences including the standard documents which form part of account opening document.
The client agrees that Aaritya shall fulfill their legal/regulatory obligations if the above documents are sent. Accordingly the client request Aaritya to register electronically their Email ID - _____ and the same will be the registered Email ID as per Aaritya records. The client shall ensure to maintain the secrecy of the Login id



and Password. Aaritya will not be held liable for breach of secrecy. The client agree that Aaritya will not take cognizance of any out of office autoreplies and the client shall be deemed to have received such electronic mails. The client agrees to inform Aaritya in case there is a change in the registered Email ID.

29. The Client has opted to receive Part B comprising of Rights & Obligations and Investment Charter (Trading and Demat separately), Uniform Risk Disclosure document, Do and Dont's for Trading and Demat and Guidance Note etc. in the form of
Physical ☐ Electronic ☐
30. In case of dealings in Listed securities of Stock Exchanges and Depositories by the client, the client agrees to comply with Fit and proper norms as prescribed by SEBI from time to time
31. The client understands, agree and confirm that if the Exchange/regulatory authority charges any penalty/fine or charge for any noncompliance on their part including but not limited to non-payment/ shortfall of in margin then such fine/charge/penalty shall be debited to the ledger account of the client and the client shall be obliged to make payment for the same.
32. The client hereby undertakes not to execute any trade either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades etc. or which could be termed as manipulative or fraudulent trades by SEBI or Stock Exchanges. In case the client is found to be indulging in such activities, Aaritya has right to inform the Stock Exchanges/SEBI/other regulatory authority and suspend/close the trading account of the Client.
31. The client hereby indemnify and hold Aaritya, its directors, employees harmless from and against all trades related claims, demands, actions, proceedings, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to Aaritya directly or indirectly, relating to bad delivery of shares/securities and/or third party delivery, whether authorized or unauthorized and fake/forged shares/securities/transfer documents introduced or that may be introduced by or through the client during the course of their dealings/operations on the Exchanges and/or proof of address, identity or any other document provided by the client at time of registration and/or subsequently.
32. The client understand and agree that Aaritya does not give any guarantee or assurance as to returns or profits or capital appreciation or protection. The client understand that the past performance is not a necessarily guide to future performance. The client also understands that there is no fixed return in equities, derivatives, currencies or any other asset class or segment traded on the exchange. The principal or initial investment in cash or collateral or any other asset class can also be lost fully and losses can be higher than client initial investment.
33. The client gives their consent to Aaritya to access their credit information from Credit Information Companies in order to understand their creditworthiness, as may be required by Aaritya for carrying out due-diligence or its internal evaluation.
34. Declaration For Same Email ID / Mobile No:
Date _____
Client ID. _____ Client Code / UCC _____
Name of Account Holder _____
Mobile Number _____
Email ID. _____
I hereby declare that the aforesaid mobile number or Email Id belongs to:
 - Me ☐
 - Spouse ☐
 - Dependent children ☐
 - Dependent parent ☐
35. The Client hereby provides their express consent to share with KYC Registration Authorities ("KRAs ") and / or CERSAI authorities which includes inter alia the KYC KRA Form along with KYC Documents like Proof of Identity, Proof of Address, Wet Signature etc. but not Limited to Bank Proof, Nomination Proof, Financial proof, FATCA documents and complete account opening form. The client hereby undertakes not have to any claim against KRAs, CERSAI or Aaritya who have uploaded such documents. KRAs or MACM will not be held liable/responsible for the same.



Terms and Conditions for availing BSE StAR mutual fund facility

36. I/We am/are interested in availing the BSE StAR Mutual Fund facility for the purpose of dealing in the units of Mutual Funds under Direct Schemes permitted to be dealt with on the BSE StAR platform. For the purpose of availing this, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of BSE StAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.
37. The client agree and acknowledge that Mutual Funds transactions done through Aaritya will be tagged with the SEBI Registration Code INZ000317632 will be treated as Direct and the same are being provided under "execution-only" transactions without any interaction or advice by the employee/relationship manager/sales person of the Aaritya or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of Aaritya.
38. The Client hereby give their consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes.
39. Since Mutual funds are held in Demat form maintained with Aaritya DP, the nomination applicable for client's Demat account will be applicable for clients's mutual fund holdings as well.
40. The Client agrees and understands that Aaritya is only a facilitator for the client for applying in the Mutual Fund units. Allotment of units shall be at the sole discretion of the respective Asset Management Company ("AMC") and the Aaritya shall not be held liable or responsible for any act/deed / non-action of the AMC.
41. The Transaction Instruction shall be processed subject to availability of sufficient and clear funds/securities in the client Bank/Demat Account.
42. Any Transactions Instructions placed on a Non-Business Day or after cut off time will be processed on the next business day and NAV would be applicable NAV on the day on which it is processed. Transaction request once placed by the client through this mode cannot be cancelled The client has read and understood the cut off timings for placing normal order/ L0/L1 orders, the details of which are clearly explained on Aaritya Website/Mobile Application and the client agree to the same.
43. Investments from residents in the United States of America and Canada are not permitted for certain mutual funds. Aaritya shall not be liable for rejections of such applications by Mutual Funds, where investor is an US and Canada resident, all such US/Canada residents are required to do their own diligence with respect to eligibility in investing into such mutual funds/instruments.

E-Sign

For more information on Aaritya and its products please visit our website: www.aaritya.com



Aaritya Broking Private Limited

Reg office: 3rd Floor, Brigade Metropolis, Summit B, Whitefield, Bangalore, Karnataka – 560048

Member: NSE and BSE | SEBI Stock Broker Registration No. INZ000317632

Depository: CDSL | SEBI DP Registration No: IN-DP-780-2024

SEBI Research Analyst Registration No. INH000022172

CIN: U66120KA2023PTC180274